

Docket No. CITI0125

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Andrew WATSON

Serial No.: 09/453,800

Filed: December 3, 1999



Group Art Unit: 2768

Examiner: To Be Assigned

For: SYSTEMS AND METHODS FOR BUILDING A PERSONALIZED ACCOUNT

**TRANSMITTAL OF MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Application mailed January 13, 2000, for which a response was due March 13, 2000, Applicant requests a one month extension of time to respond to the outstanding action, which period would expire on April 13, 2000. Applicant submits the following herewith to complete the formal filing requirements for the above-identified application:

- (1) Request for One Month Extension;
- (2) A check for \$110.00 in payment of the surcharge set forth in 1.17(a)(1);
- (3) An executed Declaration;
- (4) A check in the amount of \$130.00 in payment of the surcharge set forth in 37 C.F.R. 1.16(e); and

- (5) A courtesy copy of the Notice to File Missing Parts of Application.

Also transmitted herewith are:

- (1) An Assignment Recordation transmittal;
- (2) An Assignment form; and
- (3) A check in the amount of \$40.00 in payment of the assignment recordation fee.

If any additional fees are required in connection with the filing of this response, the Commissioner is hereby authorized to charge the same to the deposit account no. 11-0855. An additional copy of this response is enclosed for this purpose.

Respectfully submitted,

*Ray V. Call*

*Reg No 41,571 for*

George T. Marcou  
Registration No. 33,014

*April 12, 2000*  
\_\_\_\_\_  
Date

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JPM/BDD  
CIT10125  
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2-13, 3-6



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

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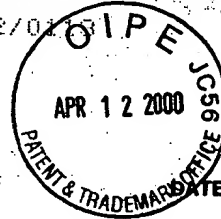
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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0974537800 12/03/99 WATSON

A CIT101125

GEORGE T MARCOU  
KILPATRICK STOCKTON LLP  
SUITE 800  
700 THIRTEENTH STREET NW  
WASHINGTON DC 20005

0232/01



NOT ASSIGNED

2768

DATE MAILED:

01/13/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a*

☐ *small entity (statement filed)* ☒ *non-small entity* is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

*Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*

☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
- ☐ does not cover the newly submitted items.

*An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

*Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).*

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

04/13/2000 HLE111 00000015 09453800 130:00 501:33:00

Please return this card, indicating receipt date and Serial No., if applicable, of the following:

Transmittal of Missing Parts; Copy of NTFMP; Declaration (2 pp.); Request for One Month Extension; Assignment Recordation Transmittal; Assignment (2 pp.); Information Disclosure Statement; PTO Form 1449; 9 References; and checks for \$130.00, \$110.00 and \$40.00

Applicant(s): Andrew WATSON

Title: SYSTEMS AND METHODS FOR BUILDING A PERSONALIZED ACCOUNT

Serial No.: 09/453,800

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GTM/BDD/acd/181941/73854



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**GROUP 3600**